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Date: February 27, 2007

Signature: 

(Quyen Nguyen)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/809,991  
Confirmation No.: 9621  
Filing Date: March 26, 2004  
Inventor(s): Christopher J. DANEK et al.  
Title: METHODS OF TREATING REVERSIBLE OBSTRUCTIVE  
PULMONARY DISEASE  
Examiner: W. Matthews  
Group Art Unit: 3738

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-captioned application the documents listed on the attached Form PTO/SB/08a/b. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

Related Applications

Applicant wishes to bring to the Examiner's attention, related applications and patents commonly assigned to the assignee of the present invention:

U.S. Application No. 10/640,967 filed August 13, 2003

U.S. Application No. 09/436,455 filed November 8, 1999

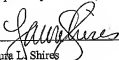
This Information Disclosure Statement is submitted after receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. The required fee is submitted herewith.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. §1.97 and §1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event the appropriate fee and/or petition is not filed herewith and the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with this filing to Deposit Account No. 50-3973 referencing Attorney Docket No. ASTXNA00402. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

  
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Substitute for form 1449/PTO		Application Number 10/809,991		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		Filing Date March 26, 2004		
		First Named Inventor Christopher J. DANEK		
		Art Unit 3738		
		Examiner Name W. Matthews		
Sheet	1	of	14	Attorney Docket No: ASTXNA00402

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-RE35330	09-17-1996	MALONE; David G. et al.	
		US-1155169	09-28-1915	J.STARKWEATHER	
		US-1207479	12-05-1916	H. BISGAARD	
		US-2072346	03-02-1937	W. R. SMITH	
		US-3568659	03-09-1971	KARNEGIS; James N.	
		US-3667476	06-06-1972	MULLER; Henry	
		US-3692029	09-19-1972	ADAIR; Edwin Lloyd	
		US-3995617	12-07-1976	WATKINS; David H. et al.	
		US-4116589	09-26-1978	RISHTON; Michael L.	
		US-4129129	12-12-1978	AMRINE; Bruce A.	
		US-4461283	07-24-1964	DOI; Yuzuru	
		US-4502490	03-05-1965	EVANS; John M. et al.	
		US-4522212	06-11-1965	GELINAS; Sandra L. et al.	
		US-4557272	12-10-1965	CARR; Kenneth L.	
		US-4565200	01-21-1966	COSMAN; Eric R.	
		US-4567882	02-04-1966	HELLER; Richard M.	
		US-4584998	04-29-1966	MCGRAL; Thomas W.	
		US-4612934	09-23-1966	BORKAN; William N.	
		US-4621642	11-11-1966	CHEN; Zu-fan	
		US-4621882	11-11-1966	KRUMME; John F.	
		US-4625712	12-02-1966	WAMPLER; Richard K.	
		US-4646737	03-03-1987	HUSSEIN; Hany M. G. et al.	

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Complete if Known <b>Application Number</b> 10/809,991 <b>Filing Date</b> March 26, 2004 <b>First Named Inventor</b> Christopher J. DANEK <b>Art Unit</b> 3738 <b>Examiner Name</b> W. Matthews	
<b>Sheet</b>	<b>2</b>	<b>of</b>	<b>14</b>
		<b>Attorney Docket No:</b> ASTXNA00402	

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4674497	06-23-1987	OGASAWARA; Tadahiko	
		US-4683890	08-04-1987	HEWSON; Carl E.	
		US-4704121	11-03-1987	MOISE; John C.	
		US-4706888	11-17-1987	DON MICHAEL; T. Anthony et al.	
		US-4709898	12-01-1987	JOHNSTON; James H. et al.	
		US-4772112	09-20-1988	ZIDER; Robert B. et al.	
		US-4779814	10-25-1988	MOISE; John C.	
		US-4790305	12-13-1988	ZOLTAN; Bart J. et al.	
		US-4799479	01-24-1989	SPEARS; J. Richard	
		US-4802492	02-07-1989	GRUNSTEIN; Michael M.	
		US-4817586	04-04-1989	WAMPLER; Richard K.	
		US-4825871	05-02-1989	CANSELL; Albert	
		US-4827935	05-09-1989	GEDDES; Leslie A. et al.	
		US-4846152	07-11-1989	WAMPLER; Richard K. et al.	
		US-4882886	09-05-1989	CLARKE; Richard H. et al.	
		US-4895557	01-23-1990	MOISE; John C. et al.	
		US-4906229	03-06-1990	WAMPLER; Richard K.	
		US-4920978	05-01-1990	COLVIN; David P.	
		US-4944722	07-31-1990	CARRIKER; John W. et al.	
		US-4955377	08-11-1990	LENNOX; Charles D. et al.	
		US-4968885	11-13-1990	HWANG; Ned H. C. et al.	
		US-4976709	12-11-1990	SAND; Bruce J.	

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		Complete If Known Application Number 10809,991 Filing Date March 26, 2004 First Named Inventor Christopher J. DANEK Art Unit 3738 Examiner Name W. Matthews	
Sheet	3	of	14
		Attorney Docket No: ASTXNA00402	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)			
		US-4985014	01-15-1991	OREJOLA; Wilmo C.	
		US-4991603	02-12-1991	COHEN; Donald M. et al.	
		US-5009936	04-23-1991	YAMANAKA; Masahiko et al.	
		US-5019075	05-28-1991	SPEARS; J. Richard et al.	
		US-5030645	07-09-1991	KOLLONITSCH; Janos	
		US-5036848	08-06-1991	HEWSON; Carl E.	
		US-5053033	10-01-1991	CLARKE; Richard H.	
		US-5056518	10-15-1991	VINCE; Dennis J.	
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		US-5084044	01-28-1992	QUINT; Robert H.	
		US-5096916	03-17-1992	SKUPIN; Alvaro H.	
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		US-5100423	03-31-1992	FEARNOT; Neal E.	
		US-5103804	04-14-1992	ABELE; John E. et al.	
		US-5106826	04-21-1992	SMITS; Karel F. et al.	
		US-5107830	04-28-1992	YOUNES; Magdy	
		US-5116664	05-26-1992	MARCH; Keith L. et al.	
		US-5117828	06-02-1992	METZGER; William T. et al.	
		US-5135517	08-04-1992	MCCOY; William C.	
		US-5167223	12-01-1992	KOROS; Tibor et al.	
		US-5170603	12-15-1992	HEWSON; Carl E. et al.	
		US-5174288	12-29-1992	BARDY; Gust H. et al.	

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Substitute for form 1449/PTO		Complete if known		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/806,991	
		Filing Date	March 26, 2004	
		First Named Inventor	Christopher J. DANEK	
		Art Unit	3738	
		Examiner Name	W. Matthews	
Sheet	4	of	14	Attorney Docket No: ASTXNA00402

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5191883	03-09-1993	LENNOX; Charles D. et al.	
		US-5213576	05-25-1993	ABIUSO; Christopher L. et al.	
		US-5215103	06-01-1993	DESAI; Jawahar M.	
		US-5231996	06-03-1993	BARDY; Gust H. et al.	
		US-5232444	08-03-1993	JUST; Hansjorg et al.	
		US-5234456	08-10-1993	SILVESTRINI; Thomas A.	
		US-5254088	10-19-1993	LUNDQUIST; Ingemar et al.	
		US-5255676	10-26-1993	DESLAURIERS; Antoine et al.	
		US-5255679	10-26-1993	IMRAN; Mir A.	
		US-5265804	11-30-1993	VINCE; Dennis J.	
		US-5269758	12-14-1993	TAHERI; Syde A.	
		US-5281218	01-25-1994	IMRAN; Mir A.	
		US-5293869	03-15-1994	EDWARDS; Stuart D. et al.	
		US-5309910	05-10-1994	EDWARDS; Stuart D. et al.	
		US-5313943	05-24-1994	HOUSER; Russell A. et al.	
		US-5345936	09-13-1994	POMERANZ; Mark L. et al.	
		US-5368591	11-29-1994	LENNOX; Charles D. et al.	
		US-5370679	12-06-1994	ATLEE, III; John L.	
		US-5374287	12-20-1994	RUBIN; Leo	
		US-5383917	01-24-1995	DESAI; Jawahar M. et al.	
		US-5393207	02-28-1995	MAHER; Timothy R. et al.	
		US-5394880	03-07-1995	ATLEE, III; John L.	

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Sheet	5	of	14
		Attorney Docket No: ASTXNA00402	

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		Number-Kind Code <sup>2</sup> (if known)			
		US-5396887	03-14-1995	IMRAN; Mir A.	
		US-5400778	03-28-1995	JONSON; Bjorn et al.	
		US-5400783	03-28-1995	POMERANZ; Merk L. et al.	
		US-5411025	05-02-1995	WEBSTER, Jr.; Wilton W.	
		US-5415166	05-18-1995	IMRAN; Mir A.	
		US-5415656	05-18-1995	TIHON; Claude et al.	
		US-5417687	05-23-1995	NARDELLA; Paul C. et al.	
		US-5423744	06-13-1995	GENCHEFF; Nelson et al.	
		US-5423811	06-13-1995	IMRAN; Mir A. et al.	
		US-5425811	08-20-1995	MASHITA; Masao	
		US-5431696	07-11-1995	ATLEE, III; John L.	
		US-5433730	07-18-1995	ALT; Eckhard	
		US-5443470	08-22-1995	STERN; Roger A. et al.	
		US-5454782	10-03-1995	PERKINS; Rodney G.	
		US-5456667	10-10-1995	HAM; Kevin et al.	
		US-5458596	10-17-1995	LAX; Ronald G. et al.	
		US-5465717	11-14-1995	IMRAN; Mir A. et al.	
		US-5471982	12-05-1995	EDWARDS; Stuart D. et al.	
		US-5478309	12-26-1995	SWEEZER; William P. et al.	
		US-5496311	03-05-1996	ABELE; John E. et al.	
		US-5500011	03-19-1996	DESAI; Jawahar M.	
		US-5505728	04-09-1996	ELLMAN; Alan G. et al.	

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Substitute for form 1448PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b> Application Number 10/809,991 Filing Date March 26, 2004 First Named Inventor Christopher J. DANEK Art Unit 3735 Examiner Name W. Matthews	
Sheet	6	of	14
		Attorney Docket No: ASTXNA00402	

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5507791	04-16-1996	SITKO; Sergei P.	
		US-5509419	04-23-1996	EDWARDS; Stuart D. et al.	
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		US-5540881	07-30-1996	STRUL; Bruno et al.	
		US-5545181	08-13-1996	IMRAN; Mir A.	
		US-5545193	08-13-1996	FLEISCHMAN; Sidney D. et al.	
		US-5549559	08-27-1996	ESHEL; Uzi	
		US-5549855	08-27-1996	ERICKSON; Donald J.	
		US-5558073	09-24-1996	POMERANZ; Mark L. et al.	
		US-5571074	11-05-1996	BUCKMAN, Jr.; Robert F. et al.	
		US-5578072	11-26-1996	BARONE; Hector D. et al.	
		US-5588432	12-31-1996	CROWLEY; Robert J.	
		US-5588812	12-31-1996	TAYLOR; Lynn P. et al.	
		US-5595183	01-21-1997	SWANSON; David K. et al.	
		US-5598848	02-04-1997	SWANSON; David K. et al.	
		US-5601088	02-11-1997	SWANSON; David K. et al.	
		US-5605157	02-25-1997	PANESCU; Dorin et al.	
		US-5607419	03-04-1997	AMPLATZ; Curtis A. et al.	
		US-5607462	03-04-1997	IMRAN; Mir A.	
		US-5620438	04-15-1997	AMPLATZ; Curtis A. et al.	
		US-5624439	04-29-1997	EDWARDS; Stuart D. et al.	

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Substitution for form 1445/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use on every sheet as necessary)</i>		Complete if Known <b>Application Number</b> 10/809,991 <b>Filing Date</b> March 26, 2004 <b>First Named Inventor</b> Christopher J. DANEK <b>Art Unit</b> 3738 <b>Examiner Name</b> W. Matthews	
<b>Sheet</b>	<b>7</b>	<b>of</b>	<b>14</b>
		<b>Attorney Docket No:</b> ASTXNA00402	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-5626618	05-06-1997	WARD; Kevin R. et al.	
		US-5630425	05-20-1997	PANESCU; Dorin et al.	
		US-5634471	06-03-1997	FAIRFAX; Andrew J. et al.	
		US-5641326	06-24-1997	ADAMS; Theodore P.	
		US-5647870	07-15-1997	KORDIS; Thomas F. et al.	
		US-5678535	10-21-1997	DIMARCO; Anthony Fortunato	
		US-5680860	10-28-1997	IMRAN; Mir A.	
		US-5681308	10-28-1997	EDWARDS; Stuart D. et al.	
		US-5693078	12-02-1997	DESAI; Jawahar M. et al.	
		US-5699799	12-23-1997	XU; Bellel et al.	
		US-5707218	01-13-1998	MAHER; Timothy R. et al.	
		US-5707336	01-13-1998	RUBIN; Leo	
		US-5707352	01-13-1998	SEKINS; K. Michael et al.	
		US-5722401	03-03-1998	PIETROSKI; Susan M. et al.	
		US-5722403	03-03-1998	MCGEE; David et al.	
		US-5722416	03-03-1998	SWANSON; David K. et al.	
		US-5725525	03-10-1998	KORDIS; Thomas F.	
		US-5727589	03-17-1998	BENETTI; Federico J. et al.	
		US-5730128	03-24-1998	POMERANZ; Mark L. et al.	
		US-5730726	03-24-1998	KLINGENSTEIN; Ralph James	
		US-5730741	03-24-1998	HORZEWSKI; Michael et al.	
		US-5740808	04-21-1998	PANESCU; Dorin et al.	

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 See Kind Code on USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).  
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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		Complete if Known <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;"><b>Application Number</b></td> <td>10/809,991</td> </tr> <tr> <td><b>Filing Date</b></td> <td>March 26, 2004</td> </tr> <tr> <td><b>First Named Inventor</b></td> <td>Christopher J. DANEK</td> </tr> <tr> <td><b>Art Unit</b></td> <td>3738</td> </tr> <tr> <td><b>Examiner Name</b></td> <td>W. Matthews</td> </tr> </table>		<b>Application Number</b>	10/809,991	<b>Filing Date</b>	March 26, 2004	<b>First Named Inventor</b>	Christopher J. DANEK	<b>Art Unit</b>	3738	<b>Examiner Name</b>	W. Matthews
<b>Application Number</b>	10/809,991												
<b>Filing Date</b>	March 26, 2004												
<b>First Named Inventor</b>	Christopher J. DANEK												
<b>Art Unit</b>	3738												
<b>Examiner Name</b>	W. Matthews												
<b>Sheet</b>	6	<b>of</b>	14	<b>Attorney Docket No:</b> ASTXNA00402									

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-5752518	05-19-1998	MCGEE; David et al.	
		US-5755714	05-26-1998	MURPHY-CHUTORIAN; Douglas R.	
		US-5755753	05-26-1998	KNOWLTON; Edward W.	
		US-5759158	06-02-1998	SWANSON; David K.	
		US-5785568	06-16-1998	SWEEZER, Jr.; William P. et al.	
		US-5769846	06-23-1998	EDWARDS; Stuart D. et al.	
		US-5772590	06-30-1998	WEBSTER, Jr.; Wilton W.	
		US-5779688	07-14-1998	CLAYMAN; Ralph V. et al.	
		US-5782239	07-21-1998	WEBSTER, Jr.; Wilton W.	
		US-5782797	07-21-1998	SCHWEICH, Jr.; Cyril J. et al.	
		US-5782848	07-21-1998	LENNOX; Charles D.	
		US-5782899	07-21-1998	IMRAN; Mir A.	
		US-5792064	08-11-1998	PANESCU; Dorin et al.	
		US-5795303	08-18-1998	SWANSON; David K. et al.	
		US-5800375	08-01-1998	SWEEZER; William Penn et al.	
		US-5807306	09-15-1998	SHAPLAND; J. Edward et al.	
		US-5810757	09-22-1998	SWEEZER, Jr.; William P. et al.	
		US-5810807	09-22-1998	GANZ; Robert A. et al.	
		US-5817073	10-06-1998	KRESPI; Yosef P.	
		US-5820554	10-13-1998	DAVIS; Richard E. et al.	
		US-5827277	10-27-1998	EDWARDS; Stuart D.	
		US-5833651	11-10-1998	DONOVAN; Maura G. et al.	

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/809,991	
		Filing Date	March 26, 2004	
		First Named Inventor	Christopher J. DANEK	
		Art Unit	3738	
		Examiner Name	W. Matthews	
Sheet	9	of	14	Attorney Docket No: ASTXNA00402

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5636947	11-17-1998	FLEISCHMAN; Sidney D. et al.	
		US-5843075	12-01-1998	TAYLOR; Julius E.	
		US-5843077	12-01-1998	EDWARDS; Stuart D.	
		US-5848238	12-08-1998	JACKSON; Jerome et al.	
		US-5848969	12-15-1998	PANESCU; Dorin et al.	
		US-5848972	12-15-1998	TRIEDMAN; John K. et al.	
		US-5855577	01-05-1999	MURPHY-CHUTORIAN; Douglas R. et al.	
		US-5860974	01-19-1999	ABELE; John E.	
		US-5883291	01-28-1999	SCHAEER; Alan K.	
		US-5885791	02-02-1999	WHAYNE; James G. et al.	
		US-5888740	02-09-1999	LEVEEN; Robert F. et al.	
		US-5871443	02-16-1999	EDWARDS; Stuart D. et al.	
		US-5871523	02-16-1999	FLEISCHMAN; Sidney D. et al.	
		US-5873865	02-23-1999	HORZEWSKI; Michael et al.	
		US-5881727	03-16-1999	EDWARDS; Stuart D.	
		US-5882346	03-16-1999	POMERANZ; Mark L. et al.	
		US-5891136	04-06-1999	MOGEE; David et al.	
		US-5897554	04-27-1999	CHIA; Weng-kwen Raymond et al.	
		US-5899882	05-04-1999	WAKSMAN; Ron et al.	
		US-5904651	05-18-1999	SWANSON; David K. et al.	
		US-5904711	05-18-1999	FLOR; James R. et al.	

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Complete If Known	
Application Number	10/809,991
Filing Date	March 26, 2004
First Named Inventor	Christopher J. DANEK
Art Unit	3736
Examiner Name	W. Matthews

Sheet **10** of **14** Attorney Docket No: ASTXNAD0402

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5908445	06-01-1999	WHAYNE; James G. et al.	
		US-5908446	06-01-1999	IMRAN; Mir A.	
		US-5911216	06-15-1999	DIMARCO; Anthony Fortunato	
		US-5919172	07-06-1999	GOLBA, Jr.; Joseph C.	
		US-5935079	08-10-1999	SWANSON; David K. et al.	
		US-5941899	08-24-1999	PATTERSON; Greg R. et al.	
		US-5954661	09-21-1999	GREENSPON; Arnold J. et al.	
		US-5954662	09-21-1999	SWANSON; David K. et al.	
		US-5957961	09-28-1999	MAGUIRE; Mark A. et al.	
		US-5964753	10-12-1999	EDWARDS; Stuart D.	
		US-5964796	10-12-1999	IMRAN; Mir A.	
		US-5971983	10-26-1999	LESH; Michael D.	
		US-5972026	10-26-1999	LAUFER; Michael D. et al.	
		US-5979456	11-09-1999	MAGOVERN; George J.	
		US-5984917	11-16-1999	FLEISCHMAN; Sidney D. et al.	
		US-5991650	11-23-1999	SWANSON; David K. et al.	
		US-5992419	11-30-1999	STERZER; Fred et al.	
		US-5993462	11-30-1999	POMERANZ; Mark L. et al.	
		US-5999855	12-07-1999	DIMARCO; Anthony F.	
		US-6004269	12-21-1999	CROWLEY; Robert J. et al.	
		US-6006877	01-04-2000	EDWARDS; Stuart D.	
		US-6010500	01-04-2000	SHERMAN; Darren et al.	

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Substitute for form 1449PTO		Create/Date if Known Application Number 10/809,991 Filing Date March 26, 2004 First Named Inventor Christopher J. DANEK Art Unit 3738 Examiner Name W. Matthews	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			
(Use as many sheets as necessary)			
Sheet	11	of	14
		Attorney Docket No: ASTXNA00402	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (P known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6016437	01-18-2000	TU; Hosheng et al.	
		US-6024740	02-15-2000	LESH; Michael D. et al.	
		US-6036687	03-14-2000	LAUFER; Michael D. et al.	
		US-6045550	04-04-2000	SIMPSON; John A. et al.	
		US-6053172	04-25-2000	HOVDA; David C. et al.	
		US-6071280	06-06-2000	EDWARDS; Stuart D. et al.	
		US-6071281	06-06-2000	BURNSIDE; Robert et al.	
		US-6083255	07-04-2000	LAUFER; Michael D. et al.	
		US-6092528	07-25-2000	EDWARDS; Stuart D.	
		US-6142983	11-07-2000	WHAYNE; James et al.	
		US-6152899	11-28-2000	FARLEY; Brian E. et al.	
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		US-6254598	07-03-2001	EDWARDS; Stuart D et al.	
		US-6273907	08-14-2001	LAUFER; Michael D.	
		US-6283988	09-04-2001	LAUFER; Michael D. et al.	
		US-6283969	09-04-2001	LAUFER; Michael D. et al.	
		US-6338836	01-15-2002	KUTH; Reiner et al.	
		US-6423105	07-23-2002	IJIMA; Tadayoshi et al.	
		US-6440129	08-27-2002	SIMPSON; John A.	
		US-6488673	12-03-2002	LAUFER; Michael D. et al.	
		US-20020091379A1	07-11-2002	DANEK; Christopher J. et al.	
		US-20040182399A1	09-23-2004	DANEK; Christopher James et al.	

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		Filing Date	March 26, 2004	
		First Named Inventor	Christopher J. DANIEK	
		Art Unit	3738	
		Examiner Name	W. Matthews	
Sheet	12	of	14	Attorney Docket No: ASTXNA00402

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Documents Country Code <sup>3</sup> Number* Kind Code* (if Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		EP-189328A3	06-03-1987	FISCHELL, Robert E. et al.		
		EP-282225B1	06-17-1992	DIGI EUROPE LIMITED		
		EP-286145A3	10-10-1990	SANKEI PHARMACEUTICAL COMPANY LIMITED et al.		
		EP-768091B1	07-30-2003	SUN MEDICAL TECHNOLOGY RESEARCH CORPORATION		
		EP-908150B1	05-07-2003	ETHICON ENDO-SURGERY, INC.		
		EP-908713A1	04-14-1999	CLAUD S. GORDON COMPANY		
		FR-2659240B1	07-04-1997	GALLEY DANIEL		✓
		GB-2233293	02-23-1994	TEVES GMBH ALFRED (DE)		
		SU545358T	02-05-1977			
		WO-0061610A1	09-06-2000	BRONCUS TECHNOLOGIES, INC.		
		WO-0103642A1	01-18-2001	BRONCUS TECHNOLOGIES, INC.		
		WO-9733715A1	09-18-1997	BOOTSMA HOLDING B.V.		
		WO-9737715A1	10-16-1997	EMORY UNIVERSITY		
		WO-9844854A1	10-15-1998	BRONCUS TECHNOLOGIES, INC.		
		WO-9856234A1	12-17-1998	FRIEDMAN, MARK, M.		

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/809,991	
		Filing Date	March 26, 2004	
		First Named Inventor	Christopher J. DANEK	
		Art Unit	3738	
		Examiner Name	W. Matthews	
Sheet	13	of	14	Attorney Docket No: ASTXNA00402

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>†</sup>	Foreign Patent Documents Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
		WO-9856324A1	12-17-1998	ARTHROCARE CORPORATION		
		WO-9858881A3	03-18-1999	EP TECHNOLOGIES, INC.		
		WO-9903413A1	01-28-1999	VNUS MEDICAL TECHNOLOGIES, INC.		
		WO-9913779A2	03-25-1999	SCIMED LIFE SYSTEMS, INC.		
		WO-9934741A1	07-15-1999	BRONCUS TECHNOLOGIES, INC.		
		WO-9944506A1	09-10-1999	SENORX, INC.		
		WO-9945855A1	09-16-1999	CARDIOFOCUS, INC.		

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		Art Unit	3738	
		Examiner Name	W. Matthews	
Sheet	14	of	14	Attorney Docket No: ASTXNA00402

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
		Co-pending U.S. Appl. No. US09/244173.	
		Co-pending U.S. Appl. No. US09/436455.	
		Co-pending U.S. Appl. No. US09/095323.	
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		MACKLEM P.T., Mechanical Factors Determining Maximum Bronchoconstriction, European Respiratory Journal, Jun. 1989, 8, 518s-519s.	
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		NETTER F.H., Respiratory System: A Compilation of Paintings Depicting Anatomy and Embryology, Physiology, Pathology, Pathophysiology, and Clinical Features and Treatment of Diseases, in The CIBA Collection of Medical Illustrations M.B. Divertie, ed., Summit: New Jersey, 1979, vol. 7, 119-135.	
		WIGGS B.R. et al., On the Mechanism of Mucosal Folding in Normal and Asthmatic Airways, J. Appl. Physiol., Dec. 1997, 83(6), 1814-1821.	

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